

PURSHOTTAM INVESTOFIN LIMITED

Regd. Off: L-7, Menz Floor, Greenpark Extension, New Delhi -110016

Ph No. 011-46067802 CIN: L65910DL1988PLC033799 GSTIN: 07AAACD0419K1ZX

Email ID: purshottaminvestofin@gmail.com

Website www.purshottaminvestofin.in

Date: 18.05.2021

To

BSE Limited

Phiroze Jeejeebhoy

Towers, Dalal Street,

Mumbai- 400001

Sub: Annual Secretarial Compliance Report for the year ended 31 March 2021.

Sir(s),

Pursuant to Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended, we are forwarding herewith the Annual Secretarial Compliance Report for the year ended 31st March, 2021.

You are requested to take the same on your record.

Thanking You,

Yours faithfully,

For Purshottam Investofin Limited

Ankit Gupta

Company Secretary



Secretarial compliance report of M/s Purshottam Investofin Limited
for the year ended 31st March 2021

We **Kundan Agrawal & Associates**, Company Secretaries having **FRN: S2009DE113700** and office at **193 First floor, Shakti Khand 3 (near One mall) Indirapuram, Ghaziabad, Uttar Pradesh-201010** and have examined:

- (a) all the documents and records made available to us and explanation provided by **“Purshottam Investofin Limited”** (“the listed entity”),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended **31st March 2021** (“Review Period”) in respect of compliance with the provisions of :

- (a) the Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”);

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; (***Not applicable on the company for the period under review***)
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011; (***To the extent applicable on the company for the period under review***)
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; (***Not applicable on the company for the period under review***)
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; (***Not applicable on the company for the period under review***)





Kundan Agrawal & Associates

Company Secretaries

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- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; (*Not applicable on the company for the period under review*)
- (g) Securities and Exchange Board of India (Issue and Listing of Non- Convertible and Redeemable Preference Shares) Regulations, 2013; (*Not applicable on the company for the period under review*)
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015; (*To the extent applicable on the company for the period under review*)
- and circulars/ guidelines issued thereunder;

And based on the above examination, we hereby report that, during the review period:

- (a) The entity is listed on Bombay Stock exchange (BSE).
- (b) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

Sr. No	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
1.	NIL	NIL	NIL

- (c) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from our examination of those records.
- (d) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (*including under the Standard Operating Procedures issued by SEBI through various circulars*) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
1.	NIL	NIL	NIL	NIL





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(e) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended... (The years are to be mentioned)	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
1.	As per Regulation 6(1) of the SEBI (LODR) 2015, Company has failed to appoint a qualified company secretary as the compliance officer.	2018-19 & 2019-20	Company had paid Rs. 90,860 to Bombay Stock Exchange of India as penalty on 03 rd November 2020.	Company had appointed a qualified company secretary as compliance officer in December 2018 and had paid fine levied, after the request to waive penalty was rejected by the stock exchange

Place: New Delhi

Date: 15/05/2021

For Kundan Agrawal & Associates
Company Secretaries



Kundan Agrawal
Company Secretary
Membership No. 7631
C.P. No. 8325

UDIN: F007631C000326081